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PTO/SB/05 (11-00)
 Approved for use through 10/31/2002. OMB 0651-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 98093DIV
 First Inventor F. Daniel Gealy
 Title CAPACITOR HAVING AN
 Express Mail Label No. EF133024081US

11003 U.S. PTO
 09/770699

01/26/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
 See 37 CFR 1.27.
- ☒ Specification [Total Pages 30]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
- ☐ Oath or Declaration [Total Pages 3]
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
Copy of 37 CFR 3.73(b) Statement of Attorney
- ☒ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: copy of Assignment previously filed & recorded

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09, 286, 807
 Prior application information: Examiner M. Prenty Group Art Unit: 2822

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been specifically omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 26283 (Insert Customer Number or Bar Code Label here) or ☐ Correspondence address below

Name
 Address
 City State Zip Code
 Country Telephone Fax

Name (Print/Type) Mark G. Knedeisen Registration No. (Attorney/Agent) 42,747
 Signature Mark Knedeisen Date Jan. 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,604.00

Complete if Known

Application Number
Filing Date
First Named Inventor F. Daniel Gealy
Examiner Name
Group Art Unit
Attorney Docket No. 98093DIV

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 11-1110
Deposit Account Name Kirkpatrick & Lockhart LLP

☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|------------------------|----------|
| 101 | 201 | 710 | 355 | Utility filing fee | 710. |
| 106 | 206 | 320 | 160 | Design filing fee | |
| 107 | 207 | 490 | 245 | Plant filing fee | |
| 108 | 208 | 710 | 355 | Reissue filing fee | |
| 114 | 214 | 150 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$ 710.00

2. EXTRA CLAIM FEES

Total Claims 43 -20** = 23 x Fee from below 18. = 414
Independent Claims 9 -3** = 6 x Fee from below 80. = 480
Multiple Dependent =

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description |
|--------------|--------------|----------|----------|--|
| 103 | 203 | 18 | 9 | Claims in excess of 20 |
| 102 | 202 | 80 | 40 | Independent claims in excess of 3 |
| 104 | 204 | 270 | 135 | Multiple dependent claim, if not paid |
| 109 | 209 | 80 | 40 | ** Reissue independent claims over original patent |
| 110 | 210 | 18 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 894.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|--|----------|
| 105 | 205 | 130 | 65 | Surcharge - late filing fee or oath | |
| 127 | 227 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 139 | 130 | 130 | Non-English specification | |
| 147 | 147 | 2,520 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 112 | 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 113 | 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 115 | 110 | 55 | Extension for reply within first month | |
| 116 | 116 | 390 | 195 | Extension for reply within second month | |
| 117 | 117 | 890 | 445 | Extension for reply within third month | |
| 118 | 118 | 1,390 | 695 | Extension for reply within fourth month | |
| 128 | 128 | 1,890 | 945 | Extension for reply within fifth month | |
| 119 | 119 | 310 | 155 | Notice of Appeal | |
| 120 | 120 | 310 | 155 | Filing a brief in support of an appeal | |
| 121 | 121 | 270 | 135 | Request for oral hearing | |
| 138 | 138 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 140 | 110 | 55 | Petition to revive - unavoidable | |
| 141 | 141 | 1,240 | 620 | Petition to revive - unintentional | |
| 142 | 142 | 1,240 | 620 | Utility issue fee (or reissue) | |
| 143 | 143 | 440 | 220 | Design issue fee | |
| 144 | 144 | 600 | 300 | Plant issue fee | |
| 122 | 122 | 130 | 130 | Petitions to the Commissioner | |
| 123 | 123 | 50 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 126 | 180 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 581 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 146 | 710 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 149 | 710 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 179 | 710 | 355 | Request for Continued Examination (RCE) | |
| 169 | 169 | 900 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ -0-

SUBMITTED BY

| | | | | | |
|-------------------|--------------------|-----------------------------------|---------------|-----------|----------------|
| Name (Print/Type) | Mark G. Kneideisen | Registration No. (Attorney/Agent) | 42,747 | Telephone | (412) 355-6342 |
| Signature | Mark Kneideisen | Date | Jan. 26, 2001 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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